

PTO/SB/08A (08-00)

<div style="writing-mode: vertical-rl; transform: rotate(180deg);"> MARK OFF </div> <div> Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> </div>				<i>Complete if Known</i>	
				Application Number	09/973,988
				Filing Date	October 11, 2001
				First Named Inventor	Faries, Jr. et al
				Group Art Unit	3762
				Examiner Name	Bockelman, Mark
	Sheet	1	of	1	Attorney Docket Number

[illegible][illegible]

Examiner Signature	<i>Mal Bach</i>	Date Considered	9-6-07
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

* For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (08-00)

Substitution for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	09/973,988
				Filing Date	October 11, 2001
				First Named Inventor	Durward I. Faries, Jr.
				Group Art Unit	Unknown
				Examiner Name	Unknown
				Attorney Docket Number	1322.0046C
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	<i>Mal Brook</i>	Date Considered	9-6-04
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OCT 17 2003
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Sheet	1	of	1
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Application Number	09/973,988
Filing Date	October 11, 2001
First Named Inventor	Durward I. Faries, Jr.
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	1322.0046C

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9-5-2004

⁶ Applicant is to place a check mark here if English language Translation is attached.

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

ATTY DOCKET NO. 1322.0046C

SERIAL NO. 09/973,888

APPLICANT : Durward Faries, Jr., et al.

FILING DATE : October 11, 2001

GROUP TECHNOLOGY CENTER R3700

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U.S. PATENT DOCUMENTS

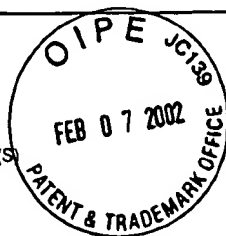
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	3,636,767	01/25/72	Duffy			
	4,187,847	02/12/80	Loeser			
	4,543,095	09/24/85	Jensen			
	5,662,611	09/02/97	Beiser et al.			
	4,329,569	05/11/82	Hjortsberg, et al			
	5,683,381	11/04/97	Carr et al			
	6,236,809	05/22/01	Cassidy et al			
	6,480,257	11/12/02	Cassidy et al			
	4,098,123	07/04/78	Granzow, Jr.			
	3,293,868	12/27/66	Gonzalez			
	2002/0041621	04/11/02				
MB	2002/0156451	10/24/02				

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
WO 98/38953	09/11/98	WIPO			
FR 2,786,057	05/19/00	FRANCE			YES
WO 99/26690	06/03/99	WIPO			
DATE CONSIDERED			9-5-2004		

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FILING DATE : October 11, 2001

GROUP

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MB	2,766,907	10/16/56	Wallace, Jr.			
	3,629,552	12/21/71	Edging			
	3,640,277	02/07/72	Adelberg			
	3,895,741	07/22/75	Nugent			
	4,167,663	09/11/79	Granzow, Jr. et al			
	4,293,762	10/06/81	Ogawa			
	4,356,383	10/26/82	Dahlberg			
	4,430,078	02/07/84	Sprague			
	4,614,514	09/30/86	Carr et al			
MB	4,782,212	11/01/88	Bakke			

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
MB	GB2029677A	03/19/80	U.K.			
I	WO 99/22786	05/14/99	WIPO			
MB	WO 99/58177	11/18/99	WIPO			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

MB		Health Devices, Vol. 25, No. 10, October 1996
I		Minco Products, Inc., Bulletin CT198, 1996
		Eurotherm Controls, Inc., Model 2115 Temperature Controller, 1997
		Ellenwood, Drop Detector, IBM Technical Bulletin, Vol. 12, No. 5, October 1969
MB		CBI Medical, Inc., IV Fluid Warmer Model 8362, 1992

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Mat Ball

DATE CONSIDERED

9-5-04

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APPLICANT : Durward Faries, Jr., et al.

FILING DATE : October 11, 2001

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	4,680,445	07/14/87	Ogawa			
	4,705,505	11/10/87	Fried			
	4,531,941	07/30/85	Zasuwa			
	4,613,327	09/23/86	Tegrarian et al			
	4,626,243	12/02/86	Singh et al			
	4,709,135	11/24/87	Dietrich et al			
	4,759,749	07/26/88	Verkaart			
	4,747,826	05/31/88	Sassano			
	4,781,548	11/01/88	Alderson et al			
MB	4,772,778	09/20/88	Ogawa			

FOREIGN PATENT DOCUMENTS

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DATE CONSIDERED

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	4,847,470	07/11/89	Bakke			
	4,874,359	10/17/89	White et al			
	4,878,537	11/07/89	Verkaart			
	4,900,308	02/13/90	Verkaart			
	5,019,047	05/28/91	Kriesel			
	5,061,630	10/29/91	Knoopf et al			
	5,063,994	11/12/91	Verkaart			
	5,075,167	12/24/91	Yamauchi et al			
	5,096,822	03/17/92	Rosenkrans, Jr. et al			
MB	5,097,898	03/24/92	Verkaart			

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FOREIGN PATENT DOCUMENTS

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	5,180,896	01/19/93	Gibby et al			
	5,205,820	08/27/93	Kriesel			
	5,232,439	08/03/93	Campbell et al			
	5,279,558	01/18/94	Kriesel			
	5,408,577	04/18/95	Weber, Jr. et al			
	5,330,431	07/19/94	Herskowitz			
	5,342,313	08/30/94	Campbell et al			
	5,318,540	06/07/94	Athayde et al			
	5,348,539	09/20/94	Herskowitz			
MB	5,399,166	03/21/95	Laing			

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FOREIGN PATENT DOCUMENTS

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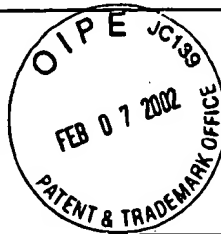
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U.S. PATENT DOCUMENTS

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MB	5,411,482	05/02/95	Campbell			
	5,423,759	06/13/95	Campbell			
	5,681,284	10/28/97	Herskowitz			
	5,733,263	03/31/98	Wheatman			
	5,514,095	05/07/96	Brightbill et al			
	5,338,157	08/16/94	Blomquist			
	4,490,884	01/01/85	Vickers			
	5,512,043	04/30/96	Verkaart			
	5,538,399	07/23/96	Johnson			
MB	5,540,561	07/30/96	Johnson			

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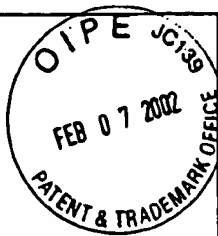
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	5,567,119	10/22/96	Johnson			
	5,567,136	10/22/96	Johnson			
	5,647,854	07/15/97	Olsen et al			
	5,658,250	08/19/97	Blomquist et al			
	5,658,252	08/19/97	Johnson			
	5,669,877	09/23/97	Blomquist			
	5,695,473	12/09/97	Olsen			
	5,707,431	01/13/98	Verkaart et al			
	5,772,409	06/30/98	Johnson			
MB	5,788,669	08/04/98	Peterson			

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FOREIGN PATENT DOCUMENTS

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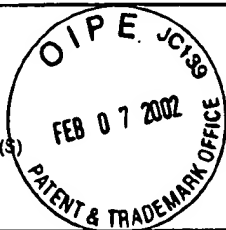
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FILING DATE : October 11, 2001

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U.S. PATENT DOCUMENTS

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MB	5,788,671	08/04/98	Johnson			
	5,810,771	09/22/98	Blomquist			
	5,823,746	10/20/98	Johnson			
	5,879,143	03/09/99	Cote et al			
	5,531,697	07/02/96	Olsen et al			
	5,531,698	07/02/96	Olsen			
	5,485,408	01/16/96	Blomquist			
	5,389,078	02/14/95	Zalesky et al			
	6,096,007	08/01/00	Haan et al			
MB	5,649,910	07/22/97	Kriesel et al			

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FOREIGN PATENT DOCUMENTS

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FILING DATE : October 11, 2001

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	5,891,096	04/06/99	Hyun et al			
	5,893,843	04/13/99	Rodrigues			
	5,961,492	10/05/99	Kriesel et al			
	6,174,300	01/16/01	Kriesel et al			
	5,824,000	10/20/98	Pavlo et al			
	RE 35,501	05/06/97	Ross et al			
	5,308,335	05/03/94	Ross et al			
	5,433,704	07/18/95	Ross et al			
	5,584,811	12/17/96	Ross et al			
MB	5,743,878	04/28/98	Ross et al			

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FOREIGN PATENT DOCUMENTS

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APPLICANT: Dunward Faries, Jr., et al.

FILING DATE: October 11, 2001

GROUP

U.S. PATENT DOCUMENTS

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MB	5,879,329	03/09/99	Ginsburg			
	5,989,238	11/23/99	Ginsburg			
	5,492,534	02/20/96	Athayde et al			
	6,062,429	05/16/00	West et al			
	6,175,688	01/16/01	Cassidy et al			
	5,474,538	12/12/95	Stuhler et al			
	5,683,381	11/04/97	Carr et al			
	5,690,614	11/25/97	Carr et al			
	5,919,218	07/06/99	Carr			
MB	6,139,528	10/31/00	Kistner et al			

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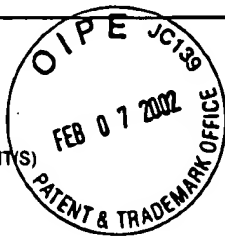
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MB	6,142,974	11/07/00	Kristher et al			
	6,146,359	11/14/00	Carr et al			
	5,713,864	02/03/98	Verkaart			
	5,807,332	09/15/98	Augustine et al			
	6,261,261	07/17/01	Gordon			
	5,876,370	03/02/99	Biomquist			
	5,928,196	07/27/99	Johnson et al			
	5,935,099	08/10/99	Peterson et al			
	5,935,106	08/10/99	Olsen			
MB	5,954,485	09/21/99	Johnson et al			

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MB	5,961,700	10/05/99	Oliver			
	6,024,539	02/15/00	Blomquist			
	6,035,102	03/07/00	Bakke			
	5,169,389	12/08/92	Kriesel			
	5,411,480	05/02/95	Kriesel			
	5,564,915	10/15/96	Johnson			
	3,526,134	09/01/70	Schaus			
	5,829,880	11/03/98	Diedrich			
	4,138,890	02/13/79	Brown			
MB	3,845,661	11/05/74	Hollweck et al			

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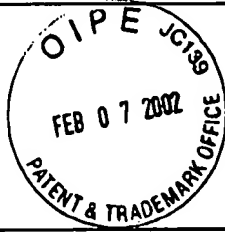
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mb	1,770,832	07/15/1930	Bass			
1	1,794,215	02/24/1931	Titus			
	1,838,026	12/22/1931	Williams			
	1,847,954	03/01/1932	Fisher			
	1,960,417	05/29/1934	Pain, Jr.			
	1,982,213	11/27/1934	Hopkins			
	1,987,119	01/08/1935	Long			
	1,995,302	03/26/1935	Goldstein			
	2,063,902	12/15/1936	Beasley			
	2,087,586	07/20/1937	Tishman			
	2,124,293	07/19/1938	Goldstein			
mb	2,204,764	06/18/1940	Mayo			

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	2,254,994	09/02/1941	Butland			
	2,470,481	05/17/1949	Freeman			
	2,910,981	11/03/1959	Wilson et al.			
	3,140,716	07/14/1964	Harrison et al.			
	3,247,851	04/26/1966	Seibert			
	3,370,153	02/20/1968	Du Fresne et al.			
	3,475,590	10/28/1969	Pins			
	3,485,245	12/23/1969	Lahr et al.			
	3,551,641	12/29/1970	Truhan			
	3,590,215	06/29/1971	Anderson et al.			
	3,612,059	10/12/1971	Ersek			
MB	3,614,385	10/19/1971	Horstmann			

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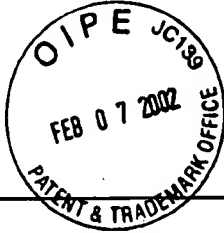
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MB	3,651,695	03/28/1972	Brown			
	3,908,652	09/30/1975	Weissinger			
	4,038,519	07/26/1977	Foucras			
	4,090,514	05/23/1978	Hinck et al.			
	4,309,592	01/05/1982	Le Boeuf			
	4,375,813	03/08/1983	Hessel			
	4,384,578	05/24/1983	Winkler			
	4,464,563	08/07/1984	Jewett			
	4,495,402	01/22/1985	Burdick et al.			
	4,523,078	06/11/1985	Lehmann			
MB	4,532,414	07/30/1985	Shah et al.			
	4,551,136	11/05/1985	Mandl			

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MB	4,651,813	03/24/1987	Witt et al.			
	4,657,004	04/14/1987	Coffey			
	4,678,460	07/07/1987	Rosner			
	4,682,979	07/28/1987	Girouard			
	4,707,587	11/17/1987	Greenblatt			
	4,735,609	04/05/1988	Comeau et al.			
	4,745,248	05/17/1988	Hayes			
	4,756,299	07/12/1988	Podella			
	4,801,777	01/31/1989	Auerbach			
	4,844,074	07/04/1989	Kurucz			
	4,859,360	08/22/1989	Suzuki et al.			
MB	4,874,033	10/17/1989	Chatelain et al.			

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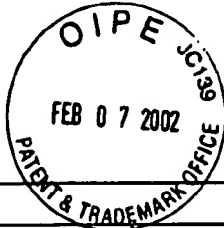
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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	5,184,613	02/09/1993	Mintz			
	5,195,976	03/23/1993	Swenson			
	5,211,631	05/18/1993	Sheaff			
	5,241,951	09/07/1993	Mason et al.			
	5,243,833	09/14/1993	Coelho et al.			
	5,245,693	09/14/1993	Ford et al.			
	5,250,032	10/05/1993	Carter, Jr., et al.			
	5,254,094	10/19/1993	Starkey et al.			
	5,261,411	11/16/1993	Hughes			
	5,261,875	11/16/1993	Spears et al.			
	5,263,929	11/23/1993	Falcone et al.			
MB	5,279,598	01/18/1994	Sheaff			

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MB	5,282,264	01/25/1994	Reeves et al.			
	5,290,230	03/01/1994	Ainsworth et al.			
	5,297,234	03/22/1994	Harms et al.			
	5,364,385	11/15/1994	Harms et al.			
	5,370,674	12/06/1994	Farrell			
	5,381,510	01/10/1995	Ford et al.			
	5,397,875	03/14/1995	Bechtold, Jr.			
	5,408,576	04/18/1995	Bishop			
	5,417,274	05/23/1995	Verkaart			
	5,420,962	05/30/1995	Bakke			
	5,451,209	09/19/1995	Ainsworth et al.			
MB	5,729,653	03/17/1998	Magliochetti et al.			

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MB	5,755,275	05/26/1998	Rose et al.			
	5,806,528	09/15/1998	Magliochetti			
	4,804,367	02/14/1989	Smith et al.			
	4,808,159	02/28/1989	Wilson			
	6,254,572	07/03/2001	Knipfer et al			
	6,221,045	04/24/2001	Duchon et al			
	6,129,702	10/10/2000	Woias et al			
	5,263,323	11/23/1993	Maus et al			
	5,073,167	12/17/1991	Carr et al			
	4,832,689	05/23/1989	Maurer et al			
	4,684,367	08/04/1987	Schaffer et al			
MB	5,840,068	11/24/1998	Cartledge			

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